

PROSPECT CAPITAL  
 CONVERTIBLE PREFERRED  
 STOCK 

## 2021 Trade Dates

Prospect  
Capital  
Convertible  
Preferred Stock

For more offering  
information please  
contact your  
financial advisor.

Sales Desk:  
855.330.6594  
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Order	Trade	Settle	First Dividend	Dividend Period
01/05/2021	01/06/2021	01/07/2021	02/01/2021	21 Days
01/19/2021	01/20/2021	01/21/2021	03/01/2021	41 Days
02/02/2021	02/03/2021	02/04/2021	03/01/2021	24 Days
02/16/2021	02/17/2021	02/18/2021	04/01/2021	40 Days
03/02/2021	03/03/2021	03/04/2021	04/01/2021	27 Days
03/16/2021	03/17/2021	03/18/2021	05/03/2021	47 Days
04/06/2021	04/07/2021	04/08/2021	05/03/2021	22 Days
04/20/2021	04/21/2021	04/22/2021	06/01/2021	38 Days
05/12/2021	05/13/2021	05/14/2021	06/01/2021	19 Days
05/26/2021	05/27/2021	05/28/2021	07/01/2021	35 Days
06/08/2021	06/09/2021	06/10/2021	07/01/2021	20 Days
06/22/2021	06/23/2021	06/24/2021	08/02/2021	36 Days
07/06/2021	07/07/2021	07/08/2021	08/02/2021	23 Days
07/20/2021	07/21/2021	07/22/2021	09/01/2021	38 Days
08/03/2021	08/04/2021	08/05/2021	09/01/2021	25 Days
08/17/2021	08/18/2021	08/19/2021	10/01/2021	42 Days
09/07/2021	09/08/2021	09/09/2021	10/01/2021	21 Days
09/21/2021	09/22/2021	09/23/2021	11/01/2021	38 Days
10/05/2021	10/06/2021	10/07/2021	11/01/2021	23 Days
10/19/2021	10/20/2021	10/21/2021	12/01/2021	40 Days
11/02/2021	11/03/2021	11/04/2021	12/01/2021	26 Days
11/16/2021	11/17/2021	11/18/2021	01/03/2022	41 Days
12/07/2021	12/08/2021	12/09/2021	01/03/2022	22 Days
12/21/2021	12/22/2021	12/23/2021	02/01/2022	38 Days

PSEC Trade Dates are subject to change. | For Internal broker-dealer and RIA use only.

